

SEP 10 2006

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PTO/SB/21 (09-04)

2155

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

09/894,182

Filing Date

June 28, 2001

First Named Inventor

Chatani

Art Unit

2155

Examiner Name

Wang

Attorney Docket Number

PA1716US

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

\* PTO-SB-08 (x2)

\* Seven Foreign Patent References

Remarks

Total page number does not include postcard or references.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Carr &amp; Ferrell LLP

Signature

*Kenneth M. Kaslow*

Printed name

Kenneth M. Kaslow

Date

September 13, 2006

Reg. No.

32,246

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Signature

*Kenneth M. Kaslow*

Typed or printed name

Kenneth M. Kaslow

Date

September 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Masayuki Chatani  
APPLICATION NO.: 09/894,182  
FILING DATE: June 28, 2001  
TITLE: System for Utilizing an Incentive Point System Based on  
Disc and User Identification  
EXAMINER: Liang Che A Wang  
ART UNIT: 2155  
ATTY.DKT.NO.: PA1716US

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **September 13, 2006**.

*Kenneth M. Kaslow*

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Kenneth M. Kaslow

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

SIR:

Enclosed herewith is form PTO-SB-08, the form lists several references. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56. A copy of all foreign references are enclosed with a concise explanation of the relevance of each if the reference is not in English.

These references are submitted pursuant to 37 C.F.R. § 1.97(e)(1) in that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; and/or 37 C.F.R. § 1.97(e)(2) in that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. **No fee is due.** No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,  
Masayuki Chatani

September 13, 2006

By: *Kenneth M. Kaslow*  
Kenneth M. Kaslow (32246)  
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Phone: (650) 812-3400  
Fax: (650) 812-3444

**Complete if Known**

(Use as many sheets as necessary)

|       |   |
|-------|---|
| Sheet | 1 |
|-------|---|

of 2

|                    |            |
|--------------------|------------|
| Application Number | 09/894.182 |
|--------------------|------------|

|             |               |
|-------------|---------------|
| Filing Date | June 28, 2001 |
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| First Named Inventor | Chatani |
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| Art Unit | 2155 |
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|---------------|------|
| Examiner Name | Wang |
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| Attorney Docket Number | PA1716US |
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| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |                |
|--------------------------|-----------------------|---|------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>2</sup> |
|                          |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
|                          |                       | JP 10-133955  | 05-22-1998       | Matsushita                                      |   | ✓              |
|                          |                       | JP 11-143719  | 05-28-1999       | Sony Corp.                                      |   | ✓              |
|                          |                       | JP 10-222428  | 08-21-1998       | Iwanami et al.                                  |   | ✓              |
|                          |                       | JP 2000-227919  | 08-15-2000       | Fujitsu Ltd.                                    |   | ✓              |
|                          |                       | JP 2000-298689  | 10-24-2000       | Sasaki Ryuichi                                  |   | ✓              |
|                          |                       | JP 10-069511  | 03-10-1998       | Matsushita                                      |   | ✓              |

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 2

of 2

**Complete if Known**

|                    |            |
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| Examiner Name | Wang |
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| Attorney Docket Number | PA1716US |
|------------------------|----------|

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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